



International Swaps and Derivatives Association, Inc.

FOREIGN DERIVATIVES DEALER NOTICE
CANADIAN BUSINESS CONDUCT RULE

Effective as of September 28, 2024

Dear Client:

This notice is being delivered to you by each of **JPMorgan Chase Bank, National Association, J.P. Morgan Securities LLC, J.P. Morgan Securities plc** and **J.P. Morgan SE** (each a “**Foreign Dealer**”) pursuant to Section 39(2)(b) of the Canadian Multilateral Instrument 93-101 Derivatives: Business Conduct and the national instrument that succeeds MI 93-101 following the adoption of a substantially similar rule to MI 93-101 by the British Columbia Securities Commission (the “**Business Conduct Rule**”). The Foreign Dealer is a foreign derivatives dealer under the Business Conduct Rule and will be relying on the exemption set out in Section 39 of the Business Conduct Rule.

The Foreign Dealer hereby provides notice of the following information:

(i) The head office or principal place of business of the Foreign Dealer is located in the following foreign jurisdiction:

- **JPMorgan Chase Bank, National Association:** United States of America
- **J. P. Morgan Securities LLC:** United States of America
- **J.P. Morgan Securities plc:** United Kingdom
- **J.P. Morgan SE:** Germany

(ii) All or substantially all of the assets of the Foreign Dealer may be situated outside of any Canadian local jurisdiction.

(iii) There may be difficulty enforcing legal rights against the Foreign Dealer because of the above.

(iv) The names and addresses of Foreign Dealer’s agents for service of process in the local jurisdiction are set out in Annex A hereto.

Sincerely,

JPMorgan Chase Bank, National Association
J.P. Morgan Securities LLC
J.P. Morgan Securities plc
J.P. Morgan SE

ANNEX A – AGENTS FOR SERVICE OF PROCESS

The names and addresses of Foreign Dealer’s agents for service of process are set out below by Province/Territory, as applicable:

| Province/Territory | Name | Address |
|---------------------------|----------------------|---|
| Alberta | 152928 Canada Inc. | c/o Stikeman Elliott LLP 4200 Bankers Hall, 888-3rd Street S.W. Calgary, Alberta T2P 5C5 |
| British Columbia | 152928 Canada Inc. | c/o Stikeman Elliott LLP 666 Burrard Street, Suite 1700, Park Place Vancouver, British Columbia V6C 2X8 |
| Saskatchewan | McDougall Gauley LLP | 1500 – 1881 Scarth Street Regina, Saskatchewan S4P 4K9 |
| Manitoba | MLT Aikins LLP | 360 Main Street, 30th Floor Winnipeg, Manitoba R3C 4G1 |
| Ontario | 152928 Canada Inc. | c/o Stikeman Elliott LLP 5300 Commerce Court West, 199 Bay Street Toronto, Ontario M5L 1B9 |
| Quebec | 152928 Canada Inc. | c/o Stikeman Elliott LLP 1155 Rene-Levesque Blvd., 41st Floor Montreal, Quebec H3B 3V2 |
| Newfoundland and Labrador | Stewart McKelvey | Suite 1100, Cabot Place 100 New Gower Street, PO Box 5038 St. John’s, Newfoundland A1C 5V3 |
| New Brunswick | Stewart McKelvey | Suite 1000, Brunswick House, 44 Chipman Hill Saint John, New Brunswick E2L 2A9 |
| Nova Scotia | Stewart McKelvey | Queen’s Marque 600 – 1741 Lower Water Street Halifax Nova Scotia B3J 0J2 |
| Prince Edward Island | Stewart McKelvey | 65 Grafton Street, PO Box 2140 Charlottetown, Prince Edward Island C1A 8B9 |
| Northwest Territories | Field Law | Suite 601 4920 52 Street Yellowknife, NT X1A 3T1 |
| Nunavut | Field Law | P.O. Box 1734 House 2436 Iqaluit, NU X0A 0H0 |
| Yukon | Tucker Carruthers | 301-303 Alexander St. Whitehorse, Yukon Y1A 2L5 |